

“শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ ।  
জেনে ও বুঝে বিনিয়োগ করুন” ।



## HR SECURITIES & INVESTMENTS LTD.

TREC Holder of Dhaka & Chittagong Stock Exchange Ltd.  
TREC No. DSE: 72 & CSE: 066  
DSE Registration # Reg.-3.1/DSE-72/2008/198, CSE Registration # Reg.3.2/CSE-66/99-82  
Full Service Depository Participant of CDBL, DP No-43500.  
HR Bhaban (3rd floor), 26/1, Kakrail, Dhaka-1000. Tel: 9334141-43, Ext-370,371,374,373  
Email: tradehrsil072@gmail.com, Web: www.hrsecuritiesbd.com

Photograph of Account Holder(s)

Photo  
Single Holder

Photo  
Joint Holder

### CUSTOMER ACCOUNT INFORMATION

Client Code No.:

Beneficiary Owner Account No.:  1  2  0  4  3  5  0  0

**Account Type:** Individual  Joint  Corporate  **Account Status :** Cash  Margin

**Name of First Account Holder :** .....

Father's/Husband's/CEO's (in case of Firm or Company) Name: .....

Mother's Name : .....

Date of Birth :         Age : .....yrs. **Sex :** Male  Female

Present Address : .....

Permanent Address : .....

Tel : ..... Nationality : ..... Occupation : ..... E-mail : .....

**Name of Joint Account Holder :** .....

Father's/Husband's Name: .....

Mother's Name : .....

Date of Birth :         Age : .....yrs. **Sex :** Male  Female

Present Address: .....

Permanent Address: .....

Tel : ..... Nationality : ..... Occupation : ..... E-mail : .....

**In case of Company : Registration No. :** ..... **Date of Registration**

**Name with Address of the Authorized Person of the Customer, if Applicable:** .....

Officer or Director of any Stock Exchange/Listed Company? Yes  No

If yes, Name of the Stock Exchange/Listed Company: .....

**Bank Name:** ..... **Branch:** ..... **A/C No.:** .....

**Routng No.:** .....

**Name & Address of the person introducing the Customer :** .....

..... Client Code No : .....

Special instruction, if any: .....

Mode of operation : Jointly  Any one can operate

✓  
.....  
Signature of the Customer.  
Date: .....

✓  
.....  
Signature of the Joint Account Holder  
Date: .....

✓  
.....  
Signature of the Authorized Person of  
the Customer.  
Date: .....

✓  
.....  
For & on behalf of HRSIL, accepting  
the Account  
Date: .....

✓  
.....  
Signature of the Person introducing  
the Customer  
Date: .....

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### (TERMS AND CONDITION FOR OPENING A CLIENT ACCOUNT)

#### DECLARATION

I/we the undersigned whose information is given in the Brokerage Application Form hereby request to be registered as a client of HRSIL and to open a client account in my/our/company name. I/we further agree and confirm that the account hereby requested to be opened by me/us shall be held and governed by the terms and conditions of this agreement as provided hereinafter and as may be modified from time to time by HRSIL or any changes by the govt. or BSEC or DSE or CSE

#### TERMS AND CONDITIONS

**Instructions:** A written instruction shall be given to HRSIL from time to time to purchase and /or sell investments on behalf of the account holder. On receipt of instructions along with a cash deposit or delivery of shares, HRSIL shall, so far as HRSIL considers it reasonably practicable, purchase and/or sell investments in accordance with those instructions, provided always that (i) any such dealings do not contravene any applicable laws or regulations, (ii) HRSIL shall have an absolute discretion to accept or reject purchase/ sell instructions and (iii) account holder's instruction shall include the following details.

- The name of the Stocks/Shares/Securities/Bonds/Debentures/Mutual Funds/Private Placements/Derivatives.
- Quantity.
- Price with notification of limit or discretion.
- The duration of the order.
- The nature of the lot (i.e. scrip size) for sale or the desired format for purchase order (See Note).
- The order should specify completion formats of:
- All or partial fill with minimum trade value of number of shares.
- Mode of execution i.e.DVP (delivery versus payment)/ NON DVP (non delivery versus payment).

(Note: The number of shares constituting a "market lot" may change. Sellers must include the exact format of their holding. This has a direct impact on market pricing given the predominantly retail nature of the market. Trades may fail where non-agreed lot sizes are delivered).

**Joint Accounts :** If this is a joint account, unless the account holders notify HRSIL otherwise and provide such documentation, as HRSIL may require, the brokerage account(s) shall be held by the account holders jointly with rights of survivorship (payable to either of the survivor). Each joint holder irrevocably appoints the other as attorney to take all action on his or her behalf and to represent him or her in all respects in connection with this Agreement. HRSIL shall be fully protected in acting but shall not be required to act upon the instructions of either of the holder. Each of the account holders shall be liable, jointly and severally, for any amounts due to HRSIL pursuant to this Agreement, whether incurred by either or both of the account holders.

**Risk :** The account holder understand(s) that the stock market is a rapidly changing market and that there is an inherent risk in incurring loss in share dealings.

**Limit Orders :** While HRSIL will endeavor to purchase or sell the investments within the limits of the prices that may be notified by the account holder. HRSIL does not guarantee or assure that the transactions will be materialized within such notified limits. HRSIL will always endeavor to obtain the best price.

**Dhaka Stock Exchange & Chittagong Stock Exchange Rules :** All transactions duly concluded through and recognized by the Dhaka Stock Exchange Limited & Chittagong Stock Exchange (hereafter DSE & CSE) are governed by the respective Rules of DSE,CSE relating to trading and settlement in particular and will be binding on both parties concerned.

**Payment and Disclosure :** HRSIL shall not be obliged to make any payment on behalf of the account holder. HRSIL may disclose information regarding the account holder or his/her dealings in relation to this agreement to any department of any government or public body upon request, whether or not such request is in fact legally enforceable, and HRSIL will not be liable in any way to account holder for so doing .

**Cancellation Provisions :** HRSIL is authorized, in its discretion, should the undersigned die or should HRSIL for any reason whatever deem it necessary for its protection, without notice, to cancel any outstanding orders in order to close out the account of the account holder, in whole or in part, or to close out any commitment made on behalf of the account holder.

**Indemnity :** In the event of a default, omission or act committed by HRSIL as a broker/member of the DSE,CSE the account holder shall be indemnified if and only as provided by the rules and regulations of the DSE/CSE

**Confirmations and Statements:** HRSIL shall use its best endeavors to provide the account holder with (a) written confirmation of each transaction it has effected on instructions; and (b) contract notes (in such form as HRSIL shall determine) setting forth (i) details of the trade date, value date, settlement date, quantity, price, commission rate and DSE/CSE Howla number provided also that the account holder does hereby agree and undertake to confirm in writing before hand of all the instructions.

**Fees and Expenses:** The account holder will pay a brokerage commission of .....and any other related expenses and charges that may from time to time be applicable. Every transaction concluded through and recognized by the DSE & CSE is subject to transaction levies or other fees imposed by the DSE & CSE. The account holder understands that the rate of commission may be changed from time to time.

**Set-off :** HRSIL shall be entitled to, in respect of all commissions, costs, charges or expenses, set off from any monies from time to time held by HRSIL for the account holder and if such monies are insufficient for the purpose, to sell any Investments held by HRSIL or any of its agents on behalf of the account holder.

**Termination :** This agreement will stand terminated; on 7 days prior, written instruction of the Account Holder to the HRSIL terminating this agreement or on the written instruction of the HRSIL to the Account Holder for termination of this Agreement. Termination shall not absolve the parties from completing accounts and adjusting any outstanding dues.

**Assignment :** The benefit and burden of this Agreement is capable of assignment by both the account holder and HRSIL without the consent of the other but the notice of assignment must be given to the other in writing.

**Force Majeur :** HRSIL shall not be liable for any losses, damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike, national disaster or any other event or circumstances beyond its control.

**Forged Shares :** HRSIL shall not be liable or responsible for any shares that are found to be forged. However, HRSIL will made every attempt to replace the forged shares from the seller.

**Law :** The terms and conditions contained herein shall be governed by and construed in accordance with the laws of Bangladesh.

**Notices :**

- (a) All notices, instructions or other communications shall be given in English and in writing or orally (facsimile, telex, telegram, cable or letter) and shall be sent to HRSIL and the account holder at the address, fax and/or telex number shown herein or at such other address as may be communicated by the parties hereto in writing.
- (b) Proof of delivery of dispatch shall be :
  - (i) in the case of a letter: dispatch or the litter duly stamped and addressed.
  - (ii) in the case of facsimile, telex, telegram or cable on the day of dispatch.
- (c) All oral notices, instructions or other communications should be confirmed in writing.

**Definitions :** Words defined herein save where a contrary meaning appears of such meaning is inconsistent with the context shall have the same defined meaning wherever used.

**Sums due :** For all purposes, including any legal proceedings, a certificate by any of HRSIL officers confirming the monies and/or liabilities for the time being due and/or incurred to by the account holder shall be conclusive evidence thereof against him/her.

I/we have read the terms and conditions contained herein above, and having agreed with such terms and conditions. I/we put my/our/company seal and signature on this the..... day of ..... 20.....

✓  
.....  
Signature of the Account Holder

✓  
.....  
Signature of Joint Account Holder

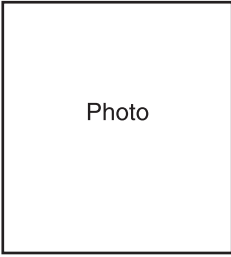
**FOR OFFICE USE ONLY:**

<p>Introduced by :</p> <p>Signature : ✓.....</p> <p>Name : .....</p>	<p>Approved by :</p> <p>Signature : ✓.....</p> <p>Name : .....</p>
--	--

To,

**HR SECURITIES & INVESTMENTS LTD.**

TREC Holder of Dhaka & Chittagong Stock Exchange Ltd.  
TREC No. DSE: 72 & CSE: 066  
DSE Registration # Reg.-3.1/DSE-72/2008/198, CSE Registration # Reg.3.2/CSE-66/99-82  
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Email: tradehrsil072@gmail.com, Web: www.hrsecuritiesbd.com



Photograph of Authorized Person

Dear Sir,

**LETTER OF AUTHORISATION**

I/We.....s.o/w.o.....  
address.....  
hereby authorize Mr./Mrs.....s.o/w.o.....  
address.....

..... whose specimen signature is given below (hereinafter referred to as the "Account Operator") to exclusively deal, buy, sell, transfer shares, debenture stocks, bonds and other securities on behalf of me/us with regard to the "Securities Account" opened and maintained in my name with M/s. HR Securities & Investments Ltd. (thereinafter referred to as the "Broker").

I hereby authorize and instruct the "Broker" to deal, buy, sell, transfer shares, stocks, debentures, debenture stocks bonds and other securities on verbal and/or written instructions of the "Account Operator".

I also authorize the "Account or Operator" to place buy/sell orders, receive confirmation notes, receive and deliver cheques/cash and shares/other securities on my/our behalf with regard to my/our "Securities Account".

I hereby declare that I am full aware of all consequences of transaction that may be carried out on my/our behalf by the "Account operator" and shall take responsibility for all such transaction as that of my/our own. I/we shall fulfill and abide by all rules and regulation described in the "Securities Account Opening Form" duly completed and signed by me/us, with regard to all transaction carried out by the "Account Operator" without raising any objection or protest.

I hereby undertake and ensure to make good and compensate for any loss or damage incurred or sustained by the "Broker" for any reason what so ever as a result of any transaction carried out by the "Account Operator".

Thank you,

Yours sincerely

(.....)  
Account Holder

✓  
.....  
(Signature of Account Operator)

✓  
.....  
(Attested by Account Holder)

Client Code No.: 

--	--	--	--	--	--	--	--

Date:.....

Witnesses : 1. Signature : ✓  
Name : .....  
Address : .....  
.....

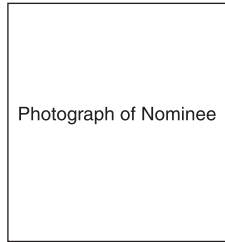
2. Signature : ✓  
Name : .....  
Address : .....  
.....

**NOMINEE FORM**

To,

**HR SECURITIES & INVESTMENTS LTD.**

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 Email: tradehrsil072@gmail.com, Web: www.hrsecuritiesbd.com



Client Code No.: 

--	--	--	--	--	--	--

Date:.....

Beneficiary Owner Account No.: 

1	2	0	4	3	5	0	0
---	---	---	---	---	---	---	---

--	--	--	--	--	--	--	--

I/We (Full name) : .....have given my/our authority to

1.) .....Relationship.....Percentage of Benifit.....

2.) .....Relationship.....Percentage of Benifit.....

.. (hereinafter called "theNominee" ) address:.....

(a) That in the event of my/our death or incapability, the Nominee shall receive/draw the amount and shares after adjustment of all liabilities (if any) held by you in my/our account.

(b) That in the event, the nominee who is so authorized remains a minor at the time of my/our death or incapability,.....

is authorized to received/draw the amount and shares held by you in/our account.

(c) I/We hereby declare the everything done by you in pursuance of this authority shall be binding upon me/us until receive notice from me/us in writing to the contrary. Furthermore. I/We hereby declare that everything done by you in pursuance of this authority shall be binding on my/our heirs, executors and administrators and all other persons claiming through or under me/us.

✓  
.....  
Signature of the Account Holder

Full Name :.....

Address :.....

✓  
.....  
Signature of Joint Account Holder

Full Name :.....

Address :.....

✓  
.....  
Signature of the Nominee - 1

Full Name :.....

Address :.....

✓  
.....  
Signature of the Nominee - 2

Full Name :.....

Address :.....

✓  
.....  
Signature of the Witness

Full Name :.....

Address :.....

✓  
.....  
Signature of the Witness

Full Name :.....

Address :.....



# HR SECURITIES & INVESTMENTS LTD.

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Email: tradehrs1072@gmail.com, Web: www.hrsecuritiesbd.com

## APPLICATION FOR CREDIT FACILITY UNDER MARGIN RULE 1999

Client Code No.:

Beneficiary Owner Account No.:

**Account Type:** Individual  Joint  Corporate  **Account Status :** Cash  Margin

**Name of the Applicant :** .....

Father's/Husband's/CEO's (in case of Firm or Company) Name:.....

Mother's Name : .....

Date of Birth :         Age :.....yrs. **Sex :** Male  Female

Present Address :.....

Permanent Address :.....

Tel :.....Nationality :.....Occupation :.....E-mail :.....

**Name of Joint Account Holder :** .....

Father's/Husband's Name:.....

Mother's Name : .....

Date of Birth :         Age :.....yrs. **Sex :** Male  Female

Present Address:.....

Permanent Address:.....

Tel :.....Nationality :.....Occupation :.....E-mail :.....

**In case of Company : Registration No. :**..... **Date of Registration**

Bank Name : ..... Branch: ..... A/C No.:.....

Routng No.:.....

In case of Joint Account, the account will be operated Jointly :  Anyone can operate :

I/We hereby declare the information given is true and correct.

✓  
.....  
Signature of the Customer.

Date:.....

✓  
.....  
Signature of the Joint Account Holder

Date:.....



## TERMS AND CONDITIONS FOR OPENING A MARGIN ACCOUNT

### 1. DEFINITIONS

- "BROKER" means HR Securities & Investments Ltd. (HRSIL) and include its successors and interest.
  - "CLIENT" means applicant named in the application form and includes its successors and interest.
  - "SECURITIES ACCOUNT" shall mean the account opened by the CLIENT with the BROKER to deposit/sell/buy securities with the Broker.
  - "PORTFOLIO VALUE" means total market value of all the stock in the SECURITIES ACCOUNT.
  - "MARGIN CALL" shall mean on request the "CLIENT" to deposit money or share to bring the Debit Balance below 50% of the Portfolio Value within 24 hours.
  - "DEBIT BALANCE" shall mean the total value of cash owed by the CLIENT together with interest, brokerage and any other charges to the BROKER in the Client SECURITIES ACCOUNT for securities transaction into the said account.
2. The client would be required to submit an application to the broker/dealer for the Margin Trading Facility.
  3. This facility granted under this account shall be a short term facility and shall be valid at the sole discretion of the Broker and repayable on demand of the Broker.
  4. The "broker" will have absolute discretion to accept or decline any security, against which credit facility is granted and shall reserve the right to make changes to their list of "approved securities" as any given moment.
  5. Only "Approved Securities" may be used as collateral for Margin Trading. A list of the "Approved Securities" which will be updated from time to time, will be available with the broker/dealer.
  6. When a previously approved share is later disqualified from the approved list, it will be excluded from all subsequent margin calculations.
  7. The client which is a legal person (i.e.Trust or Company) shall be allowed to commence Margin Trading, only when it shall submit copies of the relevant extracts of constitutional documents (i.e. certified copy Trust Deed or Memorandum and Articles of Association as the case may be) and a resolution that authorizes that specific entity and its representatives to conduct Margin Trading.
  8. Client will be allowed to transfer shares to any clearing account or link B.O account or withdrawal fund from his B.O account or security account provided that the client debit balance will remain below 50% of the portfolio value after the transaction.
  9. The client's contribution of share will be deposited to the Security Account / B O account. The Last traded price of the security on the preceding market day shall be used to calculate portfolio value and should be updated on a daily basis.
  10. Using the credit facility the Client may purchase quoted securities through the Broker in to the "Securities Account" to a maximum value of 50% of the Portfolio value. The Client shall at all times be responsible to maintain strictly the maximum limit of the "Debit Balance" at any given time.
  11. If Debit balance goes above 50% of portfolio value due to fluctuation in the marker or any other event, HRSIL will issue a "Margin Call" to the client. Margin Call could be writing or via telephone call.
  12. If client doesn't response to Margin Call, in that case HRSIL will sell out whatever shares as the Broker see fit to regularize the "Debit balance" to 50% of the "portfolio value" (+/- 5F% deviation accepted in case of adjustment) and the broker/dealer May act upon as the Attorney of the client in accordance with the terms thereof and terms of the margin Rules.
  13. If the "Debit balance" reach to 66% of portfolio value due to a sudden fall in the marker or any other event then in such a case without any notice in that trading say or next day. HRSIL will sell out shares of any company whatsoever as it sees fit to make the Debit Balance regularize. Any residual shares will remain in the account and will be available to client.
  14. If the Client exceeds the value of the "Debit Balance" above the stipulated limit and/or violates any other terms and conditions/rules/requirements as laid down by the "Broker" or any regulatory authority from time to time, the Broker shall have absolute discretion at the "Clients" risk to sell/buy or all shares or securities of any Company whatsoever in the Clients "Securities Account" without any consent of any form from the Client in order to adjust the Debit Balance of the "Securities Account". In the event the portfolio value of the "Securities Account" becomes inadequate to regularize the "Debit Balance", the Client shall be under obligation to make cash payment to settle any shortfall.

15. The Client shall pay brokerage commission for all transactions i.e. buy and sell, in the "Securities Account". The client shall also pay brokerage commission for all transactions carried out in order to regularize the "Securities Account" Rate of brokerage will be fixed by the "Broker " and can change from time to time.
16. In the "Securities Account" if client enjoy margin facilities, in that case, highest limit to buy a single stock is Tk. 25,00,000/= or 50% of the portfolio value which ever is lower.
17. "Broker" shall have the authority to give notice to the client to adjust the debit balance in "Securities Account" within 20 trading days for what ever reason which "Broker" reserves the right to divulge to Client. If client doesn't respond to such notice "Broker" shall have absolute discretion at the Client's risk to Sell/Buy any or all shares or securities in any Company whatsoever in the Client's "Securities Account" without any consent of any form the client in order to adjust the Debit Balance of the "Securities Account".
18. "Broker" will charge clients account for any debit amount, calculated on a daily basis. Broker shall advice the interest rate to the client from time to time. Broker reserve the right to increase/decrease the interest rate by giving 7 trading days notices to Client.
19. The "Securities Account" will be fully operated (All Buy/Sell decisions) by the client at all times other than in the instance of "Broker" regularizing the account.
20. Trading will take place in the same way as with normal accounts i.e. either by giving written order, by facsimile message or email.
21. Interest on the Margin Trading Facility is repayable on or before the end of every calendar month by way of depositing cash or adjustment in the security account.

22. **Paying off Debit Balance**

To reduce or to pay off debit balance the client has to bring funds into the current account in either or both of following ways:

- a) By deposit funds directly to the client's Current Account.
- b) By selling securities in client's Security /BO Account and depositing sales proceeds to client's Security Account.

23. **Security**

The shares or cash brought by the client together with shares purchased by using debit balance will be considered as collateral for the Margin Trading facility.

However, the shares brought by the client to cover Margin Requirement should meet following criteria:

- a) The shares should be acceptable to the Broker/dealer.
- b) The shares should be tradable in the DSE & CSE.
- c) The shares should possess reasonable liquidity.

The broker/dealer may also take additional securities as collateral from the client.

24. **The client hereby irrevocably authorizes the broker:**

- a) To deal with all the client's securities deposited in the "Central Depository System" (CDS) from time to time and at all times whatsoever independently and at the sole discretion of the broker/dealer as long as the client's liabilities in the repayment of all such facilities remain outstanding.
- b) The broker/dealer shall act directly as the agent or the client in respect of all dealings of margin able securities and the client shall remain principally liable for all dealings, negotiations and transactions entered into by the Broker on behalf of the client.
- c) To charge interest on all such amounts due and unpaid or spent on behalf of the client at rates determined by the broker with Statutory Levies and the Broker shall have the right to debit the "Security Account" with the same.
- d) Where the client is in default of payment of legal fees, stamp duty or other out of pocket expenses incurred by the broker, the broker shall have the right and discretion to recover such expenses from the client by debiting the Security Account.
- e) This agreement shall be binding on the parties hereto their successors, heirs, executors, administrators, assigns or legal representatives.
- f) The terms and conditions shall not be altered, amended or modified except in writing by the duly authorized representatives of each party hereto.
- g) This agreement shall be governed and construed by the laws of the Peoples Republic of Bangladesh.
- h) The client does hereby agree and irrevocably holds the broker indemnified, save and harmless against any losses, suits, claims and damages that the broker shall or may be caused, sustained, incurred, or suffered by reason in maintaining the client's accounts and using the Margin Trading Facilities in any manner whatsoever and/or acting on the instructions or requests, advises made or forwarded by way of telephone messages or Facsimile messages and/or by accepting electronic messages exchanged.
- i) In the event of the death of one of the joint account holder, the survivor; and on the death of sole account holder. The nominee or successor shall be the beneficiary of the transactions.



I/we hereby declare the information given is true and correct. I also read and understood the Terms and Conditions to the account and agree to abide by the same.

✓

\_\_\_\_\_  
Signature of the Account Holder

✓

\_\_\_\_\_  
Signature of the Joint Account Holder

**For official use only**

Date:

Security Account No.

\_\_\_\_\_  
Signature of Dealing Officer

\_\_\_\_\_  
Signature of the Approving Authority

“শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ ।  
জেনে ও বুঝে বিনিয়োগ করুন” ।

CDBL Bye Laws



## HR SECURITIES & INVESTMENTS LTD.

Form 02

TREC Holder of Dhaka & Chittagong Stock Exchange Ltd.  
TREC No. DSE: 72 & CSE: 066  
DSE Registration # Reg.-3.1/DSE-72/2008/198, CSE Registration # Reg.3.2/CSE-66/99-82  
Full Service Depository Participant of CDBL, DP No-43500.  
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Email: tradehrsil072@gmail.com, Web: www.hrsecuritiesbd.com

### BO Account Opening Form

(Bye Law 7.3.3 (b))

Please complete all details in **CAPITAL** letters. **Please fill all names correctly.** All communications shall be sent only to the First Named Account Holder's correspondence address.

Application No. ....

Date (DD/MM/YYYY) .....

Please Tick whichever is applicable

**BO Category:** Regular  Omnibus  Clearing  **BO Type :** Individual  Company  Joint Holder

Name of CDBL Participant (Up to 99 Characters) : HR Securities & Investments Ltd.

CDBL Participant ID

BO ID

Date of Account opened (dd/mm/yyyy)

4 3 5 0 0

1 2 0 4 3 5 0 0

**/We request you to open a Depository Account in my / our name as per the following details :**

#### 1. First Applicant

Name in Full of Account Holder (Up to 99 Characters) .....

Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms./ Dr. abbreviate only if over 30 characters)

Title i.e. Mr. / Mrs. / Ms. /Dr.

(In case of Company/Firm/Statutory Body) Name of Contact Person .....

(In case of Individual) Male  Female  Occupation (30 Characters) .....

Father's /Husband's Name : .....

Mother's Name .....

#### 2. Contact Details

Address .....

City ..... Post Code..... State/Division..... Country..... Telephone.....

Mobile Phone ..... Fax..... Email.....

#### 3. Passport Details

Passport No. .... Issue Place..... Issue Date..... Expiry Date.....

#### 4. Bank Details

Bank Name : ..... Branch Name:..... Account No. ....

Electronic Dividend Credit : Yes  No  Tax Exemption if any. Yes  No  TIN/Tax ID : .....

#### 5. Other Information

Residency: Resident  Non Resident  Nationality ..... Date of Birth (DDMMYYYY) .....

Statement Cycle Code Daily  Weekly  Fortnightly  Monthly  Other(Please Specify) .....

Internal Ref No (To be filled in by CDBL Participant) **HRSIL #** .....

In Case of Company:

Date of Registration (DD/MM/YYYY)

Registration No. ....

#### 6. Joint Applicant (Second Account Holder)

Name in Full(Up to 99 Characters) .....

Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms./ Dr. abbreviate only if over 30 characters)

Title i.e. Mr. / Mrs. / Ms. /Dr.











**4. Photograph of Power of Attorney Holder**

	Please paste recent passport size Photograph
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**(POA Holder)**

**5. DECLARATION**

The rules and regulations of the Depository and CDBL participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicant	Name of applicant/Authorized Signatories in case of Ltd. Co.	Signature
POA Holder		✓
First Applicant		✓
Second Applicant		✓
3rd Signatory (Ltd. Co. only)		✓

“শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ ।  
জেনে ও বুঝে বিনিয়োগ করুন” ।



## HR SECURITIES & INVESTMENTS LTD.

TREC Holder of Dhaka & Chittagong Stock Exchange Ltd.  
TREC No. DSE: 72 & CSE: 066  
DSE Registration # Reg.-3.1/DSE-72/2008/198, CSE Registration # Reg.3.2/CSE-66/99-82  
Full Service Depository Participant of CDBL, DP No-43500.  
HR Bhaban (3rd floor), 26/1, Kakrail, Dhaka-1000. Tel: 9334141-43, Ext-370,371,374,373  
Email: tradehrsil072@gmail.com, Web: www.hrsecuritiesbd.com

### Central Depository Bangladesh Limited (CDBL) Depository Account (BO Account) opened with CDBL Participant Terms & Conditions - Bye Laws 7.3.3 (c)

Dear Sir,

Please open a Depository account (BO Account) in my/our name (s) on the terms and conditions set out below. In consideration of HR Securities & Investments Ltd. (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating instructions issued from time to time by CDBL.
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless I/we instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in the transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for :
  - (a) The Veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
  - (b) The Authenticity and genuineness of all certificate and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
  - (c) Title to the Securities submitted to the CDBL participant from time to time for dematerialization;
  - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction/transfer;
  - (e) Informing the CDBL participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature etc;
  - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed at BO Account Nomination form.
  - (a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account.
  - (b) In the event, the nominee so authorized remains a minor at the time of my/our death, the legal guardian is authorized to receive/draw the securities held in my/our account.
  - (c) The nominee so authorized, shall be entitled to all my/our account to the exclusion of all other persons i.e. my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all others persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:

- (a) By dematerialization of all existing balances in my/our account;
  - (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participants(s);
  - (c) By dematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s).
8. CDBL Participant covenants that it shall.
- (a) Act only on the instructions or mandate of the account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
  - (b) Not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
  - (c) Maintain adequate audit trail of the execution of the instructions of the Account Holder.
  - (d) Not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless :
    - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
    - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his/its constituted attorney available on the records of the CDBL Participant;
    - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the account Holder's instructions.
  - (e) Furnish to the account holder a statement of account at the end every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
  - (f) Promptly attend to all grievances/complaints of the Account Holder and shall resolve all such grievances/complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances/complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.
9. The CDBL Participant shall be entitled to terminate the account relationship in the even of Account Holder.
- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf.
  - (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission.
  - (c) Commits or Participates in any fraud or other act of moral turpitude in his/its dealings with the CDBL Participant.
  - (d) Otherwise misconduct's himself in any manner.
10. Otherwise misconduct's himself in any manner.

I/we hereby acknowledge that/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL participant and agree to comply with them.

Applicant	Full Name	Signature with Date
First Applicant		✓
Second Applicant		✓
Third Applicant		✓

## LETTER OF AUTHORIZATION

I/We do hereby authorize HR SECURITIES & INVESTMENTS LTD. to treat my executed sale order Securities as pay in instruction i.e. transfer from my Beneficiary Owner (BO) Account HR SECURITIES & INVESTMENTS LTD. clearing account.

I/We do further authorize HR SECURITIES & INVESTMENTS LTD. to debit interest on margin A/C all CDBL related fees & charges from my Securities Account.

Client Code No. : 

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B.O Account No : 

1	2	0	4	3	5	0	0
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✓  
.....  
Signature of the Account Holder

Full Name : .....

Address : .....

✓  
.....  
Signature of Joint Account Holder

Full Name : .....

Address : .....